

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Polk et al.
Serial No. : 10/815,310
Filed : March 31, 2004
For : Thermoplastic Molding Process and Apparatus
Examiner : Newton O. Edwards
Group Art Unit : 1774

I hereby certify that this paper is being
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the date indicated below.

/Andrew Fessak/ January 23, 2007
Signature Date

Mail Stop Issue Fee
Assistant Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

The Office mailed a Notice of Allowance (“Notice”) to Applicants on December 20, 2006. The Notice included copies of two Forms PTO/SB/08A filed by Applicants under cover of their Information Disclosure Statements dated April 19, 2004 and June 30, 2005. Most of the documents listed on the Forms PTO/SB/08A were initialed by the Examiner to indicate that they were considered.

However, two PCT-related documents identified on Forms PTO/SB/08A were crossed-out by the Examiner with a notation that “no copy submitted in case”, thereby indicating the Examiner did not consider these two documents. In addition, a third document, EP 654,341, was labeled as “abstract” to suggest that the Examiner only considered an abstract of this document.

Applicants contacted the Examiner for clarification of this situation. On January 16, 2007, the Examiner informed Applicants that the crossed-out documents were not present in the

application file, and that only an abstract of EP 654,341 was in the file and not the entire document. The Examiner alleged that Applicants did not file these documents and therefore they were missing from the application file and not considered.

However, at least with regard to the Information Disclosure Statement dated June 30, 2005, Applicants have their return postcard stamped by the PTO evidencing that the PTO did in fact receive the Information Disclosure Statement and the two items (the PCT-related documents for PCT/US2005/07505 and EP 654,341) cited therein. Applicants are providing a copy of the stamped postcard with this paper. Accordingly, it appears that this Information Disclosure Statement and its enclosures became detached and that the enclosures did not make their way into the application file.

The Examiner informed Applicants that he would review the documents which were crossed-out from Forms PTO/SB/08A if Applicants resubmitted them. In order to complete the application record, Applicants are proceeding in accordance with the Examiner's comments.

At the request of the Examiner, Applicants are also providing a copy of the examined claims for each of the cited PCT applications.

Applicants request that the Examiner consider the documents cited in this Information Disclosure Statement and return an initialed copy of the attached Form PTO/SB/08A to Applicants indicating such consideration.

The Commissioner is authorized to charge any fee which may be due in connection with this paper to Deposit Account 23-1703.

Dated: January 23, 2007

Respectfully submitted,

/Andrew Fessak/
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	10/815,310	
			Filing Date	March 31, 2004	
			First Named Inventor	Polk et al.	
			Art Unit	1774	
			Examiner Name	Newton O. Edwards	
Sheet	1		1	Attorney Docket Number	1128546-0002-CIP

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages/Figures Appear

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		EP-654,341-A2	05-24-1995	Blauhut et al.		X

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
		International Search Report for PCT/US03/35935 completed on Feb. 10, 1994; and examined claims.	
		PCT Search Report and PCT Written Opinion for International Appln. No. PCT/US2005/07505; and examined claims	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.